# Writing Author Response Letters That Get Editors to "Yes"

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n e-mail pops up from the journal to which you submitted your recent masterpiece, a manuscript describing an exciting medical education innovation. It feels like a long time since you submitted this epic work. With trepidation you open the e-mail. It is extensive: a revision is requested. You are elated that the paper has not been rejected, but the length of the e-mail indicates that considerable efforts are still required. As you read the many comments and requests for more data, analyses, discussion, limitations, and references, your spirits sink. You worry: Did the reviewers understand your paper? Can you respond to all of the comments? Where will you find the time to respond?

Do not despair. The *Journal of Graduate Medical Education (JGME)* editors are here to help you craft a stellar author response letter, one that will help editors say "yes" to your paper.

# First Things First

Sit down, take your pulse, and then find some time to read the comments carefully. Keep in mind that the reviewers and editors—many, if not all, are volunteers—have the same goal as you: to make your paper the best it can be. A fundamental principle of peer review is that revision always produces a better paper. Most authors agree that their papers are improved through the review process.<sup>1</sup>

The good news is that a revise and resubmit decision indicates that your first submission is potentially a good "fit" for this venue. Articles that are outside the scope or on topics that have already received heavy coverage by a journal will be rejected on first review. Thus, your paper has promise. Your goal is to craft a strong author response letter to accompany your revised manuscript.

# Common Reasons for Getting A "Major Revision" Decision

The most common reason for a manuscript to receive a major revision decision is that, while the topic is of interest and relevant to readers of the journal, the editors are unable to determine, from the existing version of the paper, whether the quality is sufficient for dissemination. This conclusion can be due to missing information or analyses, poor paper organization, or unclear writing. The reviewers' and editors' comments provide guideposts as to why a paper receives a "major" versus "minor" revision decision. For some journals, including IGME, major versus minor is not determined by the number of comments, but by the journal's commitment to publish. In this situation, if all requested changes are made, a minor decision indicates a journal's commitment to publish the revised manuscript.

### **Planning Your Response**

Start by dividing the comments into (1) revisions that are easy, quick fixes (eg, incorrect citation, wrong order of methods); (2) items that need more time and coauthors' input (eg, new data analyses, rewriting sections); and (3) requests that you are not sure you understand or can address. If you cannot respond to most of the comments or to key "must do" comments (perhaps the additional data requested can no longer be obtained), you will not be able to revise the paper. You may consider a different venue for dissemination or further work in the area.

However, if most of the revision requests seem possible, you should gather your coauthors, virtually or in person, to decide how to approach the revision. Speed is important, as journals usually have firm deadlines for revisions. However, if you need more time to resubmit, always ask the journal if this is possible, *before* the due date. Many journals routinely give 1 to 2 weeks or even longer extensions.

Often the lead author writes the entire revision and author response letter, but comments and sections

TABLE 1
Sample Approach for Planning a Revision

From	Editor/Reviewer Comments	Author Response	Team Member Assigned and Due Date	Page and Line No. in Manuscript
Editor				
1				
2				
Deputy Editor				
1				
2				
Reviewer No. 1				
1				
2				
Reviewer No. 2				
1				
2				

Note: This table also can be used as the author response letter, with "team member assigned and due date" column deleted.

may also be divided among the group, to distribute the workload. To facilitate planning, the lead author can create a *comment and response* table (see TABLE 1), which includes every editor and reviewer comment. Coauthors may be assigned a specific response/revision task, along with a deadline for completion. When revising, changes can be tracked with track changes. If a team approach is used, it is essential that the lead author read the final product carefully, to ensure consistency and accuracy.

### **Assume Beneficence**

Although some editor and reviewer requests may appear inappropriate or even harsh, often a deeper read will reveal that these comments stem from unclear writing or poor organization on the authors' part. Editors and reviewers read your paper more carefully than the typical reader; thus, if they do not understand your work, it is likely that readers will also be confused. Do not assume that these comments are an attack on your work or yourself. This reaction is unlikely to produce a compelling and persuasive response letter. No matter the tone of reviewer comments, it is best to assume they are provided for your benefit. For comments or queries that are not clear, ask the journal for clarification. This is particularly apt if reviewers ask for opposite responses. Editors try to catch unclear or misleading requests in order to provide guidance to authors. Given the volume of papers, mixed messages do happen. When this occurs, you can ask for clarification before submitting your revision, or choose the response you believe is most suitable and explain your rationale in the author response letter.

If, after careful consideration, a reviewer's comments appear inappropriate in tone, contact the editor, separately from your author response letter. Most editors and reviewers try hard to help authors, but we are fallible. However, adopting a non-defensive stance and mindset that the editors' and reviewers' intentions are positive will serve you well.<sup>2</sup>

# **Organizing the Author Response Letter**

Each year editors read thousands of papers and even more revisions. Make your author response letter crystal clear—as clear yet as brief as possible. Make the comments, response to comments, and location of changes in the manuscript easy to visualize by using a table approach (TABLE 1) or different fonts or colors, to differentiate comments from responses and manuscript changes (TABLE 2). If the journal provides a template in the author instructions for the author response letter, follow the template closely.

Most editors request that reviewers number each comment or query, to assist authors. Nonetheless, you will receive decision letters with reviewer—and even editor—comments that are not numbered, or that have several comments buried in a single paragraph. The best approach is to divide these comments into single topics, number them, and answer in the order in which they occur in your decision letter. Explicitly answer each query, even when several are located together in a numbered comment or long paragraph.

Sometimes reviewers request additional information or tables that will make the paper go over word count or graphics limits. If there are no other areas in

TABLE 2 Sample Author Response Letter

Dear Editors,  Thank you for these comments designed to improve our paper, "[Name of Paper]," which we have addressed below. We greatly appreciate the time and effort put forth by reviewers and editors to improve our paper. If any responses are unclear or you wish additional changes, please let us know.				
Sincerely,				
Reviewer 1	Page and line No. for change			
1. First comment in italics				
Response indented, in bold face or another font				
2. Second comment in italics				
Response indented, in bold face or another font				
<u>Editor</u>				
1. First comment in italics				
Response indented in bold face or another font				

requested information in the author response letter respond as it will put the paper over the word count rather than in the manuscript. Depending on the journal, additional information can be placed in tricky reviewer and editor comments and potential online-only appendices. Alternatively, authors can provide the requested answers in the response letter and state that this information can be added to the complete. However, some comments may not require

which to trim words, it is best to provide the paper, if the editors prefer. Do not use "we cannot limit" as a reason for nonresponse. See TABLE 3 for solutions for authors.

Brevity is a virtue, yet your responses must be

TABLE 3 Problems and Potential Solutions for Author Response Letters

From the Author's Perspective	Potential Solutions
Vaguely worded reviewer/editor comments, requests	Ask for clarification, politely
Responding to reviewer requests will make paper over word count	Add requested material as an online-only appendix Place material in author response letter stating "we can add to paper if editor prefers"
Reviewers comments are opposite	Choose which comment seems most suitable to answer, and explain why Check editor's comments, which may indicate which reviewer to follow Ask for clarification
Reviewer statements are not true or requests not possible	State why you cannot respond, politely
From the Editor's Perspective	Potential Solutions
Disorganized author response, difficult to follow changes	Use different font, boldface, or a table to differentiate reviewer/editor requests from your responses Include manuscript page and line numbers for location of changes
"Thank you very much for your excellent comment" after every reviewer/editor request	State thank you at beginning of letter; do not repeat for each comment State a short "Thank you" or "Agree"
Ignoring or not responding to a request/ comment	Number each request and respond to each one, even to say "we are unable to provide this data" or "we do not understand this request"—although it's best to check with the journal for clarification of confusing items
Rude or insulting author responses	Be diplomatic and tactful at all times
Overly long author explanations	Be concise, although complete
Repeating same author response many times	Refer back to (numbered) original response, rather than repeating
Many grammatical/spelling errors	Proof your response letter; ask someone outside your group to proof as well

BOX 1 Strategies for Success for Author Response Letters

- Work within a group.
- Make your response letter visually very clear.
- Answer every request or question, but use no more words than necessary.
- Be polite, diplomatic, and not defensive: use the "we're all on the same side" philosophy.
- Find peers or a mentor to review your author response letter—as well as your paper—before resubmission.
- The editors and reviewers are (nearly) always right.

a response (eg, "the authors have tackled an interesting subject"). Some authors respond to every comment with "Thank you for this extremely valuable and insightful comment." In our opinion, compliments are unnecessary, require more reading on our part, and seem overly obsequious, especially after the 30th comment. A simple response ("Thank you" or "Agree") is sufficient.

# Avoiding a Rejection or Another Revision Request

One of the most difficult editor tasks is cross-checking reviewer suggestions with author responses. Are all comments and queries addressed and have the revisions sufficiently improved the quality of the paper? If the author responses appear incomplete, you are likely to receive a "reject" or another "major revision" decision. (Even when responses are complete, you may receive these decisions because the new information now challenges the quality, usefulness, or fit of your paper for the journal.)

Your best approach to avoiding a rejection is to answer all comments completely and follow the specific journal format for writing papers in this category. IGME continues to receive second versions of manuscripts that have not followed the required format, despite pointing the authors to resources that explicitly describe the correct format in the decision letter. Alert authors read these resources before submitting the original manuscript, and again when submitting their revision. Not following the required format is likely to garner at least a minor revision decision. We understand how long and overly complex author instructions are for many journals. To assist authors, IGME provides resources that further describe the content and order of each manuscript section.3

Before submitting your revision and author response letter, we strongly recommend that someone outside your immediate working group read the paper

#### **BOX 2** Resources

- Noble WS. Ten simple rules for writing a response to reviewers. PLoS Comput Biol. 2017;13(10):e1005730. doi:10.1371/journal.pcbi.1005730.
- van Hilten L. 3 top tips for responding to reviewer comments on your manuscript. Elsevier Author's Update. https://www.elsevier.com/authors-update/story/ publishing-tips/3-top-tips-for-responding-to-reviewercomments-on-your-manuscript. Accessed March 4, 2019.
- Kelly J, Sadeghieh T, Adeli K. Peer review in scientific publications: benefits, critiques, & a survival guide. *EJIFCC*. 2014;(3)25:227–243.
- Springer. Peer-review process. Revising and responding. https://www.springer.com/gp/authors-editors/ authorandreviewertutorials/submitting-to-a-journal-and-peer-review/revising-and-responding/10285584. Accessed March 4, 2019.

and letter for clarity, as well as grammar and typos. An editor should not be the first outside person to read your revision. See BOX 1 for successful strategies and BOX 2 for helpful resources.

### In Summary

A request to revise your manuscript can be seen as a glass half full ("not rejected") or a glass half empty ("more work"), yet this additional effort is ultimately to your benefit. A revise and resubmit decision is a second chance and evidence of a journal's investment in your work. Paying attention to editor and reviewer comments will sharpen your understanding of the topic, research methods, and writing skills. Keep in mind that "med ed" is a small world: if you resubmit your work to another journal, your paper may be examined by the same reviewers. Thus, paying attention to the original reviews, even when submitting your manuscript to a new journal, is suggested.

Dividing the work among your colleagues, viewing comments in a positive rather than negative light, being complete yet concise, and crafting a visually clear response letter will improve your chance of success. Your goal is to make it easy for your editor to get to "yes."

#### References

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### **New Rip Out Series: Career Transitions**

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Becoming a practicing physician involves multiple transitions—from college to medical student to resident/fellow to practicing physician. At each transition one gains more autonomy over decisions and overall competence. At transitions we consider the impacts on other parts of life, whether related to physical moves, changing responsibilities, or the effects on loved ones. One thing seems universal: transitions are harder than expected.

To support and facilitate this transition, the *Journal of Graduate Medical Education* is launching a new Rip Out series on Career Transitions, which will focus on the transition from resident/fellow to practicing physician. In this issue, we highlight "How to Approach the First Physician Job Search" on page 231. Subsequent Rip Outs concern CVs, letters of recommendation, the job interview, and contracts. The series will conclude with key concepts and principles about career transitions. As always, these Rip Outs are intended to provide evidence-based guidance on what to do short-term and long-term to ease the transition from trainee to independent practicing physician. Please let us know your comments and suggestions on Twitter (@JournalofGME #RipOut).